

# Crosscorrelation of $q$ -ary Power Residue Sequences of Period $p$ is Upper Bounded by $\sqrt{p} + 2$

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## Abstract

Let  $p$  be an odd prime,  $q$  be a divisor of  $p - 1$  and  $\mu$  be a primitive root mod  $p$ . A  $q$ -ary power residue sequence (PRS)  $\{s(n)\}$  of period  $p$  is defined as  $s(n) = k$  if  $n \in C_k$  where  $C_k = \{\mu^{qt+k} | t = 0, 1, 2, \dots, T-1\}$  where  $T = (p-1)/q$ . In this paper, we prove that the maximum absolute value of the periodic crosscorrelation of two distinct  $q$ -ary PRS's of period  $p$  is upper bounded by  $\sqrt{p} + 2$ .

**Keywords:** Non-binary PN Sequences, Polyphase Sequences, Correlation property.

# 1 Introduction

Green and Green [1] had defined  $q$ -ary sequences of period  $p$ , and proved its autocorrelation magnitude is upper bounded by 3. In this paper, we prove the crosscorrelation magnitude is upper bounded by  $\sqrt{p} + 2$ , which was conjectured by Kim based on some extensive computation data provided in [2]. For this, we will give the definition of  $q$ -ary sequences of period  $p$  in this section, and reviewed some earlier works in Section 2. Final section is to state and prove the main result. For the discussion on possible application of these sequences, see [1] and [2].

**Definition 1** ([1][2]) *Let  $p$  be an odd prime and  $q$  be a divisor of  $p - 1$ . Let  $T = (p - 1)/q$  and  $\mu$  be a primitive root mod  $p$ . The nonzero integers mod  $p$  can be partitioned into  $q$  cosets  $C_i$ ,  $0 \leq i \leq q - 1$ , where  $C_0$  is the set of the  $q$ -th power residues mod  $p$ , and  $C_i = \mu^i \cdot C_0$  for  $i > 0$ .*

*The  $q$ -ary power residue sequence ( $q$ -ary PRS)  $\{s(n)\}$  taking values on  $\mathbf{Z}_q$  of period  $p$  is defined as, for  $n = 0, 1, 2, \dots, p - 1$ ,*

$$s(n) = \begin{cases} 0 & \text{if } n \equiv 0 \pmod{p} \\ i & \text{if } n \in C_i \text{ for } i \in \mathbf{Z}_q \end{cases}$$

Complex equivalents ( $q$ -phase PRS):

$$a(n) = w^{s(n)} \quad \text{for } n = 0, 1, 2, \dots, p - 1,$$

where  $w$  be a complex primitive  $q$ -th root of unity.

**Example 1** *An example of ternary PRS (when  $p=13$ ,  $q=3$ ,  $\mu=2$ ) is shown below:*

$i$	0	1	2	3	4	5	6	7	8	9	10	11
$2^i \pmod{13}$	1	2	4	8	3	6	12	11	9	5	10	7

$$C_0 = \{1, 5, 8, 12\}$$

$$C_1 = \mu^1 \cdot C_0 = 2 \cdot C_0 = 2 \cdot \{1, 5, 8, 12\} = \{2, 10, 3, 11\}$$

$$C_2 = \mu^2 \cdot C_0 = 2^2 \cdot C_0 = 4 \cdot \{1, 5, 8, 12\} = \{4, 7, 6, 9\}$$

Therefore, a ternary PRS  $\{s(n)\}$  and (its complex equivalent) 3-phase PRS  $\{a(n)\}$  of length 13 are given as follows:

$n$	0	1	2	3	4	5	6	7	8	9	10	11	12
$s(n)$	0	0	1	1	2	0	2	2	0	2	1	1	0
$a(n)$	1	1	$w^1$	$w^1$	$w^2$	1	$w^2$	$w^2$	1	$w^2$	$w^1$	$w^1$	1

where  $w = \exp(j\frac{2\pi}{3})$ .

## 2 Earlier Works - Summary

**Lemma 1** ([1]) *Let  $\{s(n)\}$  be a  $q$ -ary PRS of period  $p$  and  $\{a(n)\}$  be the (complex)  $q$ -phase PRS with  $a(n) = w^{s(n)}$ , where  $w$  is a complex primitive  $q$ -th root of unity. Then,*

(i)  $s(1) = 0$  and hence  $a(1) = 1$ .

(ii) For  $u \neq 0, v \neq 0$ , we have

$$a(u) \cdot a(v) = a(uv) \quad \text{and} \quad a(u) \cdot a(v)^* = a(u/v).$$

(iii) For any  $u \in Z_p^*$ , we have

$$a(-u) = w^{s(-u)} = \begin{cases} -a(u), & \text{if } p \equiv q+1 \pmod{2q} \\ a(u), & \text{if } p \equiv 1 \pmod{2q} \end{cases}$$

(iv)  $\sum_{n=1}^{p-1} a(n) = 0$ .

**Theorem 1** (Autocorrelation [1]) *Let  $\{s(n)\}$  be a  $q$ -ary PRS of period  $p$  and  $\{a(n)\}$  be the complex  $q$ -phase PRS with  $a(n) = w^{s(n)}$ , where  $w$  is a complex primitive  $q$ -th root of unity. If  $a(u) = \alpha(u) + j\beta(u)$  where  $\alpha(u)$  and  $\beta(u)$  are the real and imaginary part of  $a(u)$  respectively, then the autocorrelation of the  $q$ -phase PRS  $\{a(n)\}$ , for any  $\tau \not\equiv 0 \pmod{p}$ , is given as follows:*

$$R_a(\tau) = \sum_{x=0}^{p-1} a(x)a(x+\tau)^* = \begin{cases} -1 - j \cdot 2\beta(\tau), & \text{if } p \equiv q+1 \pmod{2q} \\ -1 + 2\alpha(\tau), & \text{if } p \equiv 1 \pmod{2q} \end{cases}$$

(Therefore, we have  $|R_a(\tau)| \leq 3$ .)

How are two distinct  $q$ -ary PRS of length  $p$  from two different primitive roots mod  $p$  related?

**Theorem 2** ([2]) *Let  $\mu$  be a primitive root mod  $p$ . Let a  $q$ -ary PRS  $\{s(n)\}$  be constructed using  $\mu^i$  and  $\{t(n)\}$  be constructed using  $\mu^j$ , where both  $i$  and  $j$  are relatively prime to  $p-1$ . Then, there exists a constant  $v \pmod{q}$  such that  $t(n) \equiv v^{-1} \cdot s(n) \pmod{q}$  for all  $n$ , where  $v$  is a solution to  $j \equiv i \cdot v \pmod{p-1}$ .*

**Example 2** *When  $p = 13$ , there exist  $\phi(12) = 4$  primitive roots in  $\mathbf{Z}_{13}$ . These are  $2^1 = 2$ ,  $2^5 = 6$ ,  $2^7 = 11$ ,  $2^{11} = 7$ . We take  $q = 3$  in this example and see how ternary PRS changes according to the choice of the primitive roots.*

$\mu$	$C_0$	$C_1$	$C_2$
2	{1, 5, 8, 12}	{2, 10, 3, 11}	{4, 7, 6, 9}
6	{1, 5, 8, 12}	{6, 4, 9, 7}	{10, 11, 2, 3}
11	{1, 5, 8, 12}	{11, 3, 10, 2}	{4, 7, 6, 9}
7	{1, 5, 8, 12}	{7, 9, 4, 6}	{10, 11, 2, 3}

So a ternary PRS  $\{s(n)\}$  of length 13 using  $\mu = 2, 6, 11, 7$  are given as follows.

$n$	0	1	2	3	4	5	6	7	8	9	10	11	12
$s(n)$ with $\mu = 2$	0	0	1	1	2	0	2	2	0	2	1	1	0
$s(n)$ with $\mu = 6$	0	0	2	2	1	0	1	1	0	1	2	2	0
$s(n)$ with $\mu = 11$	0	0	1	1	2	0	2	2	0	2	1	1	0
$s(n)$ with $\mu = 7$	0	0	2	2	1	0	1	1	0	1	2	2	0

**Corollary 1** ([2]) *Let  $\mu$  be a primitive root mod  $p$ . Let a  $q$ -ary PRS  $\{s(n)\}$  be constructed using  $\mu^i$  and  $\{t(n)\}$  be constructed using  $\mu^j$ , where both  $i$  and  $j$  are relatively prime to  $p-1$ . Then,  $s(n) \equiv t(n) \pmod{q}$  for all  $n$  if and only if  $i \equiv j \pmod{q}$  if and only if  $v \equiv 1 \pmod{q}$ .*

Two  $q$ -ary PRS  $\{s_1(n)\}$  and  $\{s_2(n)\}$  of the same period  $p$  are related as a constant multiple of each other. Conversely, can all the other  $q$ -ary PRS's be constructed by multiplying an integer  $t$  satisfying  $(t, q) = 1$  to any one  $q$ -ary PRS instead of changing a primitive root?

**Theorem 3** ([2]) *Let  $p$  be an odd prime and  $q$  be a divisor of  $p-1$ . Then,*

$$U_{p-1} \equiv U_q \pmod{q}.$$

where  $U_{p-1}$  and  $U_q$  are the unit groups of  $\mathbf{Z}_{p-1}$  and  $\mathbf{Z}_q$ , respectively.

**Corollary 2** ([2]) *The number of all the distinct  $q$ -ary PRS of period  $p$  is  $\phi(q)$ .*

**Remark 1** *The total of  $\phi(q)$   $q$ -ary PRS of period  $p$  can be generated either by (A) taking all possible primitive roots mod  $p$  in Definition 1 or by (B) multiplying all possible constants (that are relatively prime to  $q$ ) to any one given  $q$ -ary PRS of period  $p$ . In Example 2, the second sequence can be obtained by multiplying 2 (mod 3) to all the terms of the first sequence.*

### 3 Crosscorrelation of $q$ -phase PRS

The periodic crosscorrelation between two  $q$ -phase sequences  $a(n)$  and  $b(n)$  (of period  $p$ ) is defined by

$$C_{a,b}(\tau) = \sum_{n=0}^{p-1} a(n)b(n+\tau)^* = \sum_{n=0}^{p-1} w^{s_1(n)-s_2(n+\tau)}$$

where  $w$  is a complex primitive  $q$ -th root of unity,  $a(n) = w^{s_1(n)}$  and  $b(n) = w^{s_2(n)}$ .

**Theorem 4** (Main) *Let  $p$  be an odd prime and  $q$  be a divisor of  $p-1$ . The crosscorrelation of two distinct  $q$ -phase PRS  $\{a(n)\}$  and  $\{b(n)\}$  of length  $p$  is upper-bounded by  $\sqrt{p} + 2$ , i.e.,*

$$|C_{a,b}(\tau)| \leq \sqrt{p} + 2.$$

For the proof the main theorem, we need the following:

**Lemma 2** *Let  $\{s(n)\}$  be a  $q$ -ary PRS and  $\{a(n)\}$  be its complex equivalent  $q$ -phase PRS. For any integer  $m = 1, 2, \dots, q-1$ , we have*

$$\sum_{n=1}^{p-1} a(n^m) = 0.$$

**Proof:** To see this, simply observe that  $s(n) = i$  if  $n \in C_i$ , and that note that  $s(n^m)$  takes the value  $j$  if  $n^m$  belongs to the coset  $C_j$ . All it takes is the index of the cosets which are  $0, 1, 2, \dots, q - 1$ .

If  $(m, q) = 1$ , then the map  $n \rightarrow n^m$  is a permutation of  $Z_p^*$ , and we are done, since  $s(n^m)$  takes all the values  $0, 1, \dots, q - 1$  exactly  $T$  times, where  $Tq = p - 1$ .

If  $(m, q) = d > 1$ , let  $q/d = q_1$ . Then,  $s(n^m)$  takes all the values  $0, d, 2d, \dots, (q_1 - 1)d$  exactly  $dT$  times, and by symmetry, the sum of their complex equivalents becomes zero. ■

**Proof of Main Theorem:** We now calculate the crosscorrelation of  $\{a(n)\}$  and  $\{b(n)\}$  where

$$a(n) = w^{s(n)} \quad \text{and} \quad b(n) = w^{ks(n)} = (w^{s(n)})^k = a(n)^k,$$

where  $k$  is any given integer from 1 to  $q - 1$  with  $(k, q) = 1$ .

Note that it becomes the autocorrelation when  $k = 1$ . Therefore, we will assume that  $k > 1$ . Note that when  $n \neq 0$ , we have  $b(n) = a(n)^k = a(n^k)$  from Lemma 1.

We will first take care of the case where  $\tau = 0$  as follows:

$$\begin{aligned} C_{a,b}(\tau = 0) &= \sum_{n=0}^{p-1} a(n)b(n)^* = \left( \sum_{n=0}^{p-1} a(n)^*b(n) \right)^* \\ &= a(0)b(0)^* + \left( \sum_{n=1}^{p-1} a(n)^*a(n^k) \right)^* \\ &= 1 + \left( \sum_{n=1}^{p-1} a(n^{k-1}) \right)^* \\ &= 1, \quad \text{from Lemma 2, since } 1 < k < q. \end{aligned}$$

We now assume that  $\tau \neq 0$ . Then

$$\begin{aligned}
C_{a,b}(\tau) &= \sum_{0 \leq n < p} a(n + \tau)b(n)^* \\
&= a(\tau)b(0)^* + a(0)b(-\tau)^* + \sum_{\substack{0 \leq n < p \\ n \neq 0 \\ n \neq -\tau}} a(n + \tau)a(n^k)^* \\
&= a(\tau) + b(-\tau)^* + \sum_{\substack{1 \leq n < p \\ n \neq -\tau}} a\left(\frac{n + \tau}{n^k}\right). \tag{1}
\end{aligned}$$

Denote the third term of (1) by  $\delta(\tau)$ . Since magnitude of the sum of the first two terms cannot exceed 2, it is now sufficient to show that, for any  $\tau \neq 0$ ,

$$|\delta(\tau)|^2 \leq p.$$

Observe the following:

$$\begin{aligned}
|\delta(\tau)|^2 &= \sum_{\substack{x \in Z_p^* \\ x \neq -\tau}} a\left(\frac{x + \tau}{x^k}\right) \left( \sum_{\substack{y \in Z_p^* \\ y \neq -\tau}} a\left(\frac{y + \tau}{y^k}\right) \right)^* \\
&= \sum_{\substack{x \in Z_p^* \\ x \neq -\tau}} \sum_{\substack{y \in Z_p^* \\ y \neq -\tau}} a\left(\frac{x + \tau}{x^k}\right) a\left(\frac{y + \tau}{y^k}\right)^* \\
&= \sum_{\substack{x \in Z_p^* \\ x \neq -\tau}} \sum_{\substack{y \in Z_p^* \\ y \neq -\tau}} a\left(\left(\frac{x + \tau}{y + \tau}\right) \left(\frac{y}{x}\right)^k\right)
\end{aligned}$$

Substitute  $1/x$  instead of  $x$ . Then

$$|\delta(\tau)|^2 = \sum_{\substack{x \in Z_p^* \\ x \neq -1/\tau}} \sum_{\substack{y \in Z_p^* \\ y \neq -\tau}} a\left(\left(\frac{1 + \tau x}{yx + \tau x}\right) (yx)^k\right)$$

How many terms are in the above double summation ? There are  $(p - 2)^2$  terms. These can be re-ordered according to whether  $yx = 1$  or  $yx \neq 1$ .

$$\begin{aligned}
|\delta(\tau)|^2 &= \sum_{\substack{yx=1 \\ x \in Z_p^* - \{-1/\tau\} \\ y \in Z_p^* - \{-\tau\}}} a(1) + \sum_{\substack{yx \neq 1 \\ x \in Z_p^* - \{-1/\tau\} \\ y \in Z_p^* - \{-\tau\}}} a\left(\left(\frac{1 + \tau x}{yx + \tau x}\right)(yx)^k\right) \\
&= p - 2 + \sum_{\substack{yx \neq 1 \\ x \in Z_p^* - \{-1/\tau\} \\ y \in Z_p^* - \{-\tau\}}} a\left(\left(\frac{1 + \tau x}{yx + \tau x}\right)(yx)^k\right) \tag{2}
\end{aligned}$$

Now, all we have to show is that the last term of (2) is less than or equal to 2. We will denote the term by  $\Delta(\tau)$ . We now change the variables from  $x$  and  $y$  to  $x$  and  $yx = z$  so that

$$\begin{aligned}
\Delta(\tau) &= \sum_{\substack{yx \neq 1 \\ x \in Z_p^* - \{-1/\tau\} \\ y \in Z_p^* - \{-\tau\}}} a\left(\left(\frac{1 + \tau x}{yx + \tau x}\right)(yx)^k\right) \\
&= \sum_{\substack{x \in Z_p^* - \{-1/\tau\} \\ z \in Z_p^* - \{1, -\tau x\}}} a\left(\left(\frac{1 + \tau x}{z + \tau x}\right)z^k\right)
\end{aligned}$$

We put further  $\tau x = u$ . Then, we have

$$\begin{aligned}
\Delta(\tau) &= \sum_{\substack{u \in Z_p^* - \{-1\} \\ z \in Z_p^* - \{1, -u\}}} a\left(\left(\frac{1 + u}{z + u}\right)z^k\right) \\
&= \sum_{\substack{z \in Z_p^* - \{1\} \\ u \in Z_p^* - \{-1, -z\}}} a(z^k)a\left(\frac{1 + u}{z + u}\right) \\
&= \sum_{z \in Z_p^* - \{1\}} a(z^k) \sum_{u \in Z_p^* - \{-1, -z\}} a\left(\frac{1 + u}{z + u}\right)
\end{aligned}$$

The inner sum of the above can be computed to be the sum of  $a(x)$  for all  $x \in Z_p^*$  except for two terms which are  $a(1)$  and  $a(z^{-1})$  since the map



$u \rightarrow \frac{1+u}{z+u}$  is one-to-one for  $u \in Z_p^* - \{-1, -z\}$ . Therefore,

$$\begin{aligned} \Delta(\tau) &= \sum_{z \in Z_p^* - \{1\}} a(z^k)(-a(1) - a(z^{-1})) \\ &= - \sum_{z \in Z_p^* - \{1\}} a(z^k) - \sum_{z \in Z_p^* - \{1\}} a(z^{k-1}) \\ &= a(1) + a(1) = 2. \end{aligned}$$

This completes the proof of main Theorem. ■

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## References

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